

Healthlink SFTP Interface Specification For Clinical Systems

Version History

Date	Version History	Reason For Change
24.01.2025	Version 1.1	First Draft
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1. Introduction

The purpose of this document is to detail how clinical systems can generate and transmit HL7 message files to Healthlink using the Secure File Transfer Protocol (SFTP) network protocol

This document IS NOT an attempt to inform the reader about HL7 standards. Please refer to the relevant HL7 specifications for further detail.

Overview

Healthlink is the national messaging broker whose core remit is to provide a messaging service facilitating the secure transfer of clinical patient information between hospitals and medical practitioners.

The HL7 Abstract Message Definitions for the permitted message types are included in Appendix 1 on [Healthlink Online Message Specification](#). Due to their complexity the schemas are not suitable for inclusion in this document but are available at <http://www.hl7.org/Special/committees/xml/drafts/v24-200303.zip>. We recommend XML Spy Professional for viewing schemas in a readable format.

2. General Messaging Guidelines

Please refer to [Healthlink Online Message Specification](#) for further details on HL7 message types and segments.

Healthlink Message Types

Healthlink Message Type	HL7 Abstract Message Type	Healthlink ID
Laboratory Order	OML_O21	1
Inpatient Admission	ADT_A01	2
Outpatient Clinic Letter	REF_I12	3
A&E Notification	ADT_A01	4
Discharge Summary	REF_I12	5
Death Notification	ADT_A03	6
Radiology Result	ORU_R01	7
OPD Appointment	SIU_S12	8
Waiting List	SIU_S12	9
Laboratory Result	ORU_R01	10
Laboratory NACK	ORL_O22	11
Discharge Notification	ADT_A03	12
Acknowledgement	ACK	13

Filling CE Data Type Components

Clinical systems are given the following guidelines when filling the CE data types.

The CE Data Type has CE components labelled CE.1 through to CE.6 in the XML Encoding. Clinical systems should use the CE element for the following:

- holding data that is based on defined tables (Healthlink Online Message Specification)
- holding data based on defined and recognised coding systems
- holding data that is not bound to any tables or coding systems, i.e. free text
- holding data that is based on local hospital tables or coding systems

When filling data that is based on defined tables from Healthlink Online Message Specification:

- CE.1 must contain the reference to a *value* in the used table
- CE.2 must contain meaningful descriptive text
- CE.3 must contain a reference, for example:
 - HL7#### (# is a digit [0-9]) for HL7 Defined tables
 - L to indicate Local code
 - LN to indicate LOINC code

When filling data that is based on the local hospital tables or coding systems:

- CE.1 - Enter the code
- CE.2 - Must contain a meaningful description
- CE.3 - Must contain the name of the coding system used in CE.1

Note: CE.1 and CE.3 should uniquely identify value in CE.2

Special Characters

Escape sequences are most used when messages are encoded in the standard way, although they are still required for certain situations in the XML encoding.

Healthlink will not accept deviations from the suggested special characters:

Delimiter	Suggested Value	Encoding Character Position	Usage
Segment Terminator	<cr> (hex 0D)	-	Terminates a segment record. This value cannot be changed by implementers.
Field Separator		-	Separates two adjacent data fields within a segment. It also separates the segment ID from the first data field in each segment.
Component Separator	^	1	Separates adjacent components of data fields where allowed.
Subcomponent Separator	&	4	Separates adjacent subcomponents of data fields where allowed. If there are no subcomponents, this character may be omitted.
Repetition Separator	~	2	Separates multiple occurrences of a field where allowed.
Escape Character	\	3	Escape character for use with any field represented by an ST, TX or FT data type, or for use with the data (fourth) component of the ED data type. If no escape characters are used in a message, this character may be omitted. However, it must be present if subcomponents are used in the message.

The above characters should be replaced by the following:

- \E\ Escape character converted to escape character (e.g., '\')
- \F\ Field separator converted to field separator character (e.g., '|')
- \R\ Repetition separator converted to repetition separator character (e.g., '~')
- \S\ Component separator converted to component separator character (e.g., '^')
- \T\ Subcomponent separator converted to subcomponent separator character (e.g., '&')

Formatted Text

Healthlink allows for the following valid HL7 v 2.4 formatting commands in XML encoding only.

Escape character to be used in V2 XML encoding	Meaning
<code><escape V="".br"/></code>	Begin new output line. Set the horizontal position to the current left margin and increment the vertical position by 1.
<code><escape V="".inn"/></code> where <i>n</i> = number	Indent <number> of spaces, where <number> is a positive integer. This command cannot appear after the first printable character of a line.

The following five characters cannot be used in XML documents and should be replaced as indicated:

1. < replace with <
2. > replace with >
3. & replace with &
4. ' replace with '
5. " replace with "

Message Format

In order to be able to perform a successful initial validation of the HL7 message, 3 mandatory segments must be populated:

- MSH.3\HD.1 to determine message type being processed.
- MSH.4\HD.2 - Sending Hospital HIPE Code which also corresponds to Sending Application.
- MSH.10 - Message Control ID which uniquely identifies all messages from the sending hospital. Example by using date format for this value : "Lab[yyyyMMddHHmmssfff]"

Note: The value pair of HIPE Code and Message Control ID must be unique.

Example of a Lab Result Message:

```
<ORU_R01>
<MSH>
  <MSH.1>|</MSH.1>
  <MSH.2>^~\&amp;</MSH.2>
  <MSH.3>
    <HD.1>HOSPITALSYSTEM.HEALTHLINK.10</HD.1>
    <HD.2/>
    <HD.3/>
  </MSH.3>
  <MSH.4>
    <HD.1>[Hospital Name]</HD.1>
    <HD.2>[Hospital Hype Code]</HD.2>
    <HD.3>L</HD.3>
  </MSH.4>
  <MSH.5>
    <HD.1/>
    <HD.2/>
    <HD.3/>
  </MSH.5>
  <MSH.6>
    <HD.1>Doctor,Test</HD.1>
    <HD.2>TDOC-TEST</HD.2>
    <HD.3>L</HD.3>
  </MSH.6>
  <MSH.7>
    <TS.1>202501031100</TS.1>
  </MSH.7>
  <MSH.9>
    <MSG.1>ORU</MSG.1>
    <MSG.2>R01</MSG.2>
  </MSH.9>
  <MSH.10>LAB20150611151819004504</MSH.10>
  <MSH.11>
    <PT.1>P</PT.1>
  </MSH.11>
```

```

    <MSH.12>
      <VID.1>2.4</VID.1>
    </MSH.12>
    <MSH.15/>
  </MSH>
  ...
  <ORU_R01/>

```

3. Clinical System Requirements

Acknowledgements

Acknowledgments (ACKs) are an integral part of Healthlink integration process. ACKs work as a mandatory audit/confirmation receipt of message delivery and processing for both Healthlink and clinical systems. Please refer to ACK_Message_Hospital_Specification_v0_3 or higher for Acknowledge Messages Implementation.

Procedures for Making Changes to the source system

The procedure for making any required changes to the source system that generates Healthlink messages is as follows:

1. Participating Hospital must inform Healthlink of their intension to implement a change to the source system.
2. A detailed account of the change must be supplied to Healthlink.
3. A specification of the new message format must be supplied to Healthlink.
4. Test messages must be generated by the source system in a test environment and not in the live environment.
5. Sample test message structures must be sent to Healthlink for validation.
6. Healthlink must test these new messages in the Healthlink test environment, which encompasses a test message agent, test database, the test Healthlink Online application and testing with each of the GP Practice Management Systems.
7. Once full testing has been completed Healthlink will contact the participating hospital and schedule an implementation date for the production environment.

Any new message types produced from the source system must be tested on our test environment before being made available on the Healthlink production environment. The procedures outlined above must also be followed for any new messages being added to the Healthlink messaging service.

4. SFTP Service

The SFTP process enables clinical systems to write messages directly to a SFTP folder hosted in a Healthlink storage account.

The first step will be to work with the Healthlink team to configure connectivity.

SFTP Folder Setup

For each Healthlink Message type being produced by a clinical system, there will be a corresponding Outbound HL7 Pickup directory on the Healthlink storage account where messages of that type will be saved. It is a crucial requirement that the routine(s) producing HL7 messages save each message type to the appropriate pickup directory.

Healthlink will configure the storage account similar to the following format:

e.g. Mater hospital (HIPE Code: 908)

- [\\outbound\908\7](#) (Radiology Message Folder)
- [\\outbound\908\10](#) (Laboratory Message Folder)
- [\\outbound\908\error](#)
- [\\outbound\908\ACK](#)
- [\\outbound\908\NACK](#)

e.g. Beaumont hospital (HIPE Code: 923)

- [\\outbound\923\10](#) (Laboratory Message Folder)
- [\\outbound\923\error](#)
- [\\outbound\923\ACK](#)
- [\\outbound\923\NAC](#)

Initial Key Setup

1. When a SFTP share is being on-boarded, the hospital system administrator will be required to generate a compatible SSH key pair.
2. The hospital system administrator will send the public key to the Healthlink support engineer.
3. The Healthlink support engineer will instrument the key into the system and verify that the SSH Public key provided conforms to the HSE Encryption Policy approved Asymmetric Encryption Algorithms.
4. Expiration date is set to 12 Months from the date it's added into the system
5. The support engineer will provide the username to the hospital system administrator in the format <storage_account_name>.<sftp_username>, who must configure their system to authenticate with the private key and the generated username.

SSH Key Generation

The following documentation can be used to generate SSH keys

- On Windows
 - As of Windows 10 and Windows Server 2019, OpenSSH is included as an optional feature that can be installed and used with PowerShell. This includes the ssh-keygen utility for generating SSH keys. Check if it's installed by running ssh-keygen -h
 - If not, then Install OpenSSH https://learn.microsoft.com/en-us/windows-server/administration/openssh/openssh_install_firstuse?tabs=gui
 - Key pair generation command example: ssh-keygen -t rsa -b 2048
 - More information here: https://learn.microsoft.com/en-us/windows-server/administration/openssh/openssh_keymanagement#user-key-generation
- On Linux
 - key pair generation <https://docs.oracle.com/en/cloud/cloud-at-customer/occ-get-started/generate-ssh-key-pair.html#GUID-8B9E7FCB-CEA3-4FB3-BF1A-FD3406A2432F>

SSH Key Encryption Policy

As per the [HSE \(Draft\) Encryption policy version 4.0](#), page 8, section 4.7, part b. Only the following encryption algorithms and protocols are approved for used within the HSE:

Asymmetric Encryption Algorithms

1. Rivest, Shamir & Adelman (RSA) with a minimum encryption key length of 2048 bits.
Health Service Executive Encryption Policy Version 4.0 9 May 2024
2. Digital Signature Standard (DSS) with a minimum encryption key length of 2048 bits.
3. Elliptic Curve Digital Signature Algorithm (ECDSA) with a minimum encryption key length of 384 bits.

SSH Key Rotation

When a key is due to expire in 60 days, Healthlink Support will contact the Hospital system administrator requesting a new key be generated.

The flow for Initial Key Setup will be followed again.

Healthlink Outbound Service Setup

The Healthlink Outbound service is a windows service which will process HL7 messages received into the storage account. This service will act as a communication link between the storage account and the Healthlink central servers. This windows service will be configured to start automatically and log errors or alerts to Healthlink.

5. Auditing & Reconciliation

Healthlink supports clinical systems in their auditing and reconciliation processing through Acknowledgement (ACK) messages. Receiving systems must use returned ACK message for their own internal auditing and reconciliation processes. In the event where a report failed to be successfully processed the clinical system must correct if necessary and resend the failed report to Healthlink.

Healthlink Message Type ID: 13

<u>ACK</u>	<u>General Acknowledgment</u>	<u>Chapter</u>
MSH	Message Header	2
MSA	Message Acknowledgment	2
[ERR]	Error	2

Sample ACK Message

Values in **blue** are taken from the originating clinical system message segments.

```
<?xml version="1.0" encoding="UTF-8"?>
<ACK xmlns="urn:hl7-org:v2xml">
  <MSH>
    <MSH.1>|</MSH.1>
    <MSH.2>^~\&lt;/MSH.2>
    <MSH.3>
      <HD.1>HLONLINE.HEALTHLINK.13 [FacilityName.HEALTHLINK.13]</HD.1>
    </MSH.3>
    <MSH.4>
```



```

    <HD.1>St. James's Hospital [Facility Name MSH.6/HD.1]</HD.1>
    <HD.2>904 [Facility Code MSH.6/HD.2] </HD.2>
    <HD.3>L</HD.3>
  </MSH.4>
  <MSH.5>
    <HD.1>HELIXPM</HD.1>
    <HD.2></HD.2>
    <HD.3></HD.3>
  </MSH.5>
  <MSH.6>
    <HD.1>Dr. Smith, John [GP Name MSH.4/HD.1]</HD.1>
    <HD.2>123564.1234 [GP MCN MSH.4/HD.2]</HD.2>
    <HD.3>MCN.HLPracticeID [MSH.4/HD.3]</HD.3>
  </MSH.6>
  <MSH.7>
    <TS.1>20150914162235</TS.1>
  </MSH.7>
  <MSH.9>
    <MSG.1>ACK</MSG.1>
    <MSG.2>R01 [MessageType MSH.9/MSG.2]</MSG.2>
  </MSH.9>
  <MSH.10>ACK202501141622353564</MSH.10>
  <MSH.11>
    <PT.1>P</PT.1>
  </MSH.11>
  <MSH.12>
    <VID.1>2.4</VID.1>
  </MSH.12>
</MSH>
<MSA>
  <MSA.1>AA</MSA.1>
  <MSA.2>ORU20350114162054003564</MSA.2>
</MSA>
</ACK>

```

The values for sending application and sending facility in the acknowledgement message are the same as the values for receiving application and receiving facility in the initiating assessment message and vice versa.

MSH.10 is the unique message control ID of the acknowledgement message and is not related to MSA.2, the message control ID of the assessment message that is being acknowledged. MSH.10 is generated using the format of the current date and time, up to the milliseconds. **Eg:**
ACKyyyMMddHHmmssfff

The three possible values for MSA.1, Acknowledgement Code are:

- AA Application Acknowledgement
- AE Application Error (details/reasons to be provided by PCRS)
- AR Application Reject

This tells you whether the original assessment message, as identified in MSA.2, has been accepted by the receiving system.

An Application Reject acknowledgement may mean one of two things:

- There is a major problem with the message and it cannot be validated by the receiving system;
- There is a problem with the receiving system and it is unable to process the message, though the message itself is fine;

An Application Error message means there is a problem with the content of the message. This should be diagnosed and corrected by the sending system before resending the message.

The Message Error Segment (ERR) is required where an error is found in a HL7 message. The ERR Segment is used to add error information to acknowledgement messages. Healthlink have added codes

to the 'HL7 Table 0357 – Message Error Condition Codes' section in the [Healthlink Online Message Specification](#). If an error is not included in this table, the unknown code can be used and new errors can be added to this table accordingly as they occur.

ACK ERR Segment:

- The ERR segment is optional in an ACK message, but where it does appear the ERR.1 field is required.
- The ERR.1 field is repeatable, allowing for information on multiple errors to be displayed.
- The components of the ERR.1 field are:
 - segment ID, the three letter identifier of the segment in which the error occurred;
 - sequence, the Set ID of the segment if there is more than one segment with the same segment ID in the message;
 - field position, the field number within the segment where the error occurred;
 - code identifying error, taken from HL7 table 0357 Message Error Condition Codes and shown in Section 14 of this document.

Consider an example where an ORU_R01 message is missing the required fields PID.3 Patient Identifier and PID.5 Patient Name in the MSH Segment. In this case the ERR segment of the acknowledgment message, which would have AE in the MSA.1 field, would look as follows:

```
<ERR>
  <ERR.1>
    <ELD.1>PID</ELD.1>
    <ELD.3>3</ELD.3>
    <ELD.4>
      <CE.1>101</CE.1>
      <CE.2>Required field missing</CE.2>
      <CE.3>HL70357</CE.3>
    </ELD.4>
  </ERR.1>
  <ERR.1>
    <ELD.1>PID</ELD.1>
    <ELD.3>5</ELD.3>
    <ELD.4>
      <CE.1>101</CE.1>
      <CE.2>Required field missing</CE.2>
      <CE.3>HL70357</CE.3>
    </ELD.4>
  </ERR.1>
</ERR>
```